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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/668,976

Filing Date September 23, 2003

First Named Inventor R. Scott Morris

Art Unit 2636

Examiner Name TBD

Attorney Docket Number 30408-102

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Perkins, Smith & Cohen, LLP		
Signature	<i>Janine M. Susan</i>		
Printed name	Janine M. Susan, Ph.D.		
Date	December 7, 2005	Reg. No.	46,119

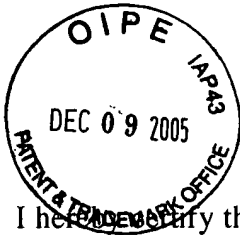
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Janine M. Susan  
Janine M. Susan

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Morris and Dixon

Serial Number: 10/668,976

Filed: September 23, 2003

For: A NOVEL CARBON NANOTUBE LITHIUM BATTERY

Examiner: Hofsass, Jeffery A.

Group Art Unit: 2636

Attorney Docket No.: 30408-102

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**INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement (Form PTO-1449) is submitted under 37 CFR 1.97(b)(3), before the mailing of a first office action on the merits. In view thereof, no fee is required. However, in the event fees are required, please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, Order No. 30408-102.

Respectfully submitted,

Dated: December 7, 2005

By: Janine M. Susan  
Janine M. Susan  
Reg. No. 46,119  
Attorney for Applicant  
Customer Number: 26486



**FORM PTO-1449**  
**U.S. DEPARTMENT OF COMMERCE**  
**PATENT AND TRADEMARK OFFICE**

Applicant: Morris and Dixon

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUB- CLASS	FILING DATE IF APPROPRIATE
	6,280,697	08/28/01	Zhou <i>et al.</i>	423/414	03/01/99
	6,422,450	07/23/02	Zhou <i>et al.</i>	219/121.85	09/15/00
	6,514,395	02/04/03	Zhou <i>et al.</i>	204/409	12/12/01

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUB- CLASS	TRANSLATION YES NO

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, etc.)


**EXAMINER****DATE CONSIDERED**